1	ROB BONTA	
2	Attorney General of California DAVID E. BRICE	
3	Supervising Deputy Attorney General PHILLIP L. ARTHUR	
4	Deputy Attorney General State Bar No. 238339	
5	1300 I Street, Suite 125 P.O. Box 944255	
6	Sacramento, CA 94244-2550 Telephone: (916) 210-7866	
7	Facsimile: (916) 327-8643 E-mail: Phillip.Arthur@doj.ca.gov	
8	Attorneys for Complainant	
9	BEFORE THE DEPARTMENT OF PESTICIDE REGULATION	
10	· -	CALIFORNIA
11		7 a
12	In the Matter of the Accusation Against:	Case No. 20-002L
13	ALPINE HELICOPTER SERVICE, INC.;	OAH No. 2020120289
14	JOEL C. DOZHIER, PRESIDENT 11001 West Highway 12	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER [ALPINE
15	Lodi, CA 95240	HELICOPTER SERVICE, INC. ONLY]
16	Pest Control Business - Main License No.	
17	30969	
18	and	
19	JOEL CONRAD DOZHIER P.O. Box 1405	
20	Woodbridge, CA 95258	
21	Qualified Applicator License No. 100640	
22	Journeyman Pest Control Aircraft Pilot Certificate No. 76577	
23	and	
24		
25	WILLIAM C. HEPPE II 1040 Shakeley Lane	
26	Ione, CA 95640	
27	Journeyman Pest Control Aircraft Pilot Certificate No. 141409	
28	Comment No. 141407]

1	and
2	CHARLES F. HEPPE
3	4717 Bay Brook Way Bakersfield, CA 93313
4	Qualified Applicator License No. 101033
5	Journeyman Pest Control Aircraft Pilot Certificate No. 76719
6	Respondents.
7	•
8	
9	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-
10	entitled proceedings that the following matters are true:
11	<u>PARTIES</u>
12	1. Ken Everett (Complainant) is the Assistant Director of the Pesticides Program
13	Division, Department of Pesticide Regulation ("Department"). He brought this action solely in
14	his official capacity and is represented in this matter by Rob Bonta, Attorney General of the State
15	of California, by Phillip L. Arthur, Deputy Attorney General.
16	2. Alpine Helicopter Service, Inc., Joel Conrad Dozhier, William C. Heppe II, and
17	Charles F. Heppe are represented in this proceeding by attorney David M. Leath, whose address
18	is Petrie Leath Larrivee & O'Rourke, LLP, 6051 N. Fresno Street, Suite 110, Fresno, CA 93710.
19	3. On or about January 10, 2007, the Department issued Pest Control Business - Main
20	License No. 30969 to Alpine Helicopter Service, Inc. (Respondent Alpine Helicopter) with Joel
21	C. Dozhier as President and as the designated Qualified Person (Respondent Dozhier). The
22	license was in full force and effect at all times relevant to the charges brought in First Amended
23	Accusation No. 20-002L and will expire on December 31, 2024, unless renewed.
24	<u>JURISDICTION</u>
25	4. On or about March 4, 2021, First Amended Accusation No. 20-002L was filed before
26	the Department and is currently pending against Respondents. The First Amended Accusation
27	and all other statutorily required documents were properly served on Respondents on March 8,
28	

2021. A true and correct copy of First Amended Accusation No. 20-002L is attached hereto as "Exhibit A" and is incorporated by reference as though fully set forth herein.

ADVISEMENT AND WAIVERS

- 5. Respondent Alpine Helicopter has carefully read, fully discussed with counsel, and understands the charges and allegations in First Amended Accusation No. 20-002L. Respondent Alpine Helicopter has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent Alpine Helicopter is fully aware of its legal rights in this matter, including the right to a hearing on the charges and allegations in the First Amended Accusation; the right to confront and cross-examine the witnesses against them; the right to present evidence and testify on its own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent Alpine Helicopter voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent Alpine Helicopter understands and agrees that the charges and allegations in First Amended Accusation No. 20-002L, if proven at a hearing, constitute cause for imposing discipline upon its Pest Control Business Main License.
- 9. For the purpose of resolving the First Amended Accusation without the expense and uncertainty of further proceedings, Respondent Alpine Helicopter agrees that, at a hearing, Complainant could establish a factual basis for the charges in the First Amended Accusation, and that Respondent Alpine Helicopter hereby gives up its right to contest those charges.
- 10. Respondent Alpine Helicopter agrees that its Pest Control Business Main License is subject to discipline and agrees to be bound by the Department's probationary terms as set forth in the Disciplinary Order below.

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CONTINGENCY

- 11. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- This Stipulated Settlement and Disciplinary Order is intended by the parties to be an 12. integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- In consideration of the foregoing admissions and stipulations, the parties agree that the Department may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pest Control Business - Main License No. 30969 issued to Alpine Helicopter Service, Inc. (Respondent Alpine Helicopter) with Joel C. Dozhier as President and as the designated Qualified Person is revoked. However, the revocation is stayed and Respondent Alpine Helicopter is placed on probation for four (4) years on the following terms and conditions:

- 1. Obey All Laws. Respondent Alpine Helicopter shall obey all federal, state, and local laws, and all laws and rules relating to the practice of pesticide application.
- 2. **Notice to Employees.** Respondent Alpine Helicopter shall, upon or before the effective date of this Decision, post or circulate a notice to all employees involved in pesticide application operations which accurately recite the terms and conditions of probation. Respondent Alpine Helicopter shall be responsible for said notice being immediately available to said employees. "Employees" as used in this provision includes all full-time, part-time, temporary, and relief employees and independent contractors employed or hired at any time during probation.

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- 3. **Violation of Probation.** Should Respondent Alpine Helicopter violate probation in any respect, the Department, after giving Respondent Alpine Helicopter notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order which was stayed. If an accusation or a petition to revoke probation is filed against Respondent Alpine Helicopter during probation, the Department shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter has been acted upon by the Department.
- 4. **Interview / Records: Department Or Its Designees.** Respondent Alpine Helicopter shall be available in person upon reasonable request for interviews and the review of records either at Respondent Alpine Helicopter's place of business, residence, or other agreed upon location throughout the term of probation.
- **License Surrender.** Following the effective date of this Decision, if Respondent Alpine Helicopter ceases practicing in the pesticide industry due to retirement, health reasons, or is otherwise unable to satisfy the terms and conditions of probation, Respondent Alpine Helicopter may request the voluntary surrender of Respondent Alpine Helicopter's license to the Department. The Department reserves the right to evaluate Respondent Alpine Helicopter's request and to exercise its discretion whether to grant the request or to take any other action deemed appropriate and reasonable under the circumstances. Upon the Department's acceptance of the surrender, Respondent Alpine Helicopter shall within fifteen (15) days deliver to the Department's offices its licenses. Respondent Alpine Helicopter will no longer be subject to the terms and conditions of probation and the surrender of Respondent Alpine Helicopter's license shall be deemed a disciplinary action. However, if Respondent Alpine Helicopter re-applies for a license or registration, the application shall be treated as a new application for licensure. Respondent Alpine Helicopter must comply with all the laws, regulations, and procedures for licensure in effect at the time the application is filed, and all of the charges and allegations contained in First Amended Accusation No. 20-002L shall be deemed to be true, correct, and admitted by Respondent Alpine Helicopter when the Department determines whether to grant or deny the application.
 - 6. Completion of Probation. Upon successful completion of probation, Respondent

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Alpine Helicopter's license will be fully restored if Respondent maintains its license during the entirety of this probationary period.

- 7. Use of Global Positioning System Software. For all aerial pesticide applications, Respondent Alpine Helicopter shall use global positioning system software to capture and record throughout any pesticide application: (a) the position of any aircraft used during the pesticide application; (b) the speed of any such aircraft; and (c) whether the pesticide application system control valve is open or closed. Respondent Alpine Helicopter must also maintain the native log file data captured by such software for four (4) years from the date of the application, and provide such native log file data upon request to the local County Agricultural Commissioner's (CAC) office, California Department of Pesticide Regulation (the Department), any county District Attorney's Office, or the California Attorney General's Office.
- 8. **Observation of All Aerial Pesticide Applications.** For all aerial pesticide applications, Respondent Alpine Helicopter shall have a person holding a current Qualified Applicator Certificate issued by the Department, a current Qualified Applicator License issued by the Department, a current Journeyman Pilot Certificate issued by the Department, or a current Apprentice Pilot Certificate issued by the Department, and who is not Joel C. Dozhier, William C. Heppe II, Charles Heppe, Khaythan Chamberlain, Stephen Brunskill, or John Latham, observe the application. That observer shall be in a position on the ground that is within 100 feet of the boundary of the intended area to be treated with pesticides, have a means to communicate instantaneously with the pilot conducting the application, and have the authority to require the application pilot to immediately cease the application if pesticide drift is observed or becomes reasonably likely to occur. Within 72 hours after any application, this observer must complete a certification under penalty of perjury, that: (a) the person was present at the application site on the ground within 100 feet of the boundary of the intended area to be treated with pesticides; (b) the person monitored the entirety of the application; (c) the person did not observe any pesticide drift onto non-target persons or property, or other unintended contamination during the application, or if drift, exposure, or contamination was observed, describing with specificity all facts observed, including, at a minimum, weather and wind conditions, names and contact

information of persons affected, and description and nature of property drifted upon; and (d) the person did not observe any departure from the exercise of due care by the applicator during the application, or if any departures were observed, all facts relating to any observed departures. Respondent Alpine Helicopter must maintain these certifications, along with the name and license number of the observer, for four (4) years from the date of the application; and provide such information and certifications upon request to the local CAC's office, the Department, any county District Attorney's Office, or the California Attorney General's Office. If the person who monitored the application observes any drift, exposure, or contamination, or any departures from the exercise of due care by the applicator during the application, Respondent Alpine Helicopter must send the certification to the local CAC's office, via email, and to the Department, via email to pesticidenotice@cdpr.ca.gov within 96 hours of the application's completion.

- 9. Weather when Loading Pesticide Material Into Aircraft Tanks for Aerial Pesticide Applications. For all aerial pesticide applications, prior to loading any pesticide material into the aircraft's tanks, Respondent Alpine Helicopter shall: (1) have a person positioned within 100 feet of the boundary of the area intended to be treated with pesticides; (2) ensure that person uses a digital anemometer to measure the wind speed and direction; and (3) ensure that person takes a photograph of the anemometer's wind speed and direction measurement using a camera device that automatically captures the time and location at which a photograph is taken, such as a cell phone camera. Respondent Alpine Helicopter must maintain these photographs in native format for four (4) years from the date of the application; and provide such photographs in native format upon request to the local CAC's office, the Department, any county District Attorney's Office, or the California Attorney General's Office.
- 10. **Use of Digital Anemometer.** For all aerial pesticide applications, Respondent Alpine Helicopter shall have a person positioned within 100 feet of the boundary of the area intended to be treated with pesticides use a digital anemometer to measure the wind speed and direction after each reload of the aircraft's tanks with additional pesticide material and record the wind speed and direction for each measurement. Respondent Alpine Helicopter must maintain the record of these measurements and the date and time they were taken for four (4) years from

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the date of the application; and provide such information upon request to the local CAC's office, Department, any county District Attorney's Office, or the California Attorney General's Office.

Notice to Occupants of Sensitive Site. For all aerial pesticide applications made within one-quarter mile of a sensitive site¹ at least 48 hours prior to the pesticide application, Respondent Alpine Helicopter must notify the occupants of the sensitive site, in writing in both English and Spanish, of: (1) the date and time of the anticipated application; (2) the location of the anticipated application; (3) the pesticide anticipated to be applied, including the active ingredient, the name of the product, and the EPA Registration number; (4) the telephone number of the applicator; and (5) early signs and symptoms of exposure to the pesticide(s) anticipated to be applied. This notice must include the following language: "This application will occur no earlier than the date and time contained in this notice, but may occur up to 96 hours after the date and time contained in this notice." The notice must also include the following language: "For information about reporting pesticide problems, visit the California Department of Pesticide Regulation's website at https://www.cdpr.ca.gov/docs/dept/quicklinks/report.htm." This notice may be provided by: (1) hand-delivering the written notice to the occupants of the sensitive site at least 48 hours prior to the anticipated application; (2) placing the written information at occupants' property in hangers left on occupants' doors at least 48 hours prior to the anticipated application; or (3) placing the written information on the doorstep of the primary building on the property that is a sensitive site at least 48 hours prior to the anticipated application.² If the

¹ For purposes of this Disciplinary Order, "sensitive site" is defined to mean areas frequented by non-occupational bystanders (especially children). These include residential lawns, pedestrian sidewalks, outdoor recreational areas such as school grounds, athletic fields, parks, and all property associated with buildings occupied by humans for residential or commercial purposes. Sensitive sites include homes, farmworker housing, or other residential buildings, schools, daycare centers, nursing homes, and hospitals. (U.S. EPA, Office of Chemical Safety and Pollution Prevention, Decision 059101, July 16, 2012.)

² For an application that will not be made with Restricted Materials but will be made within one-quarter mile of a sensitive site, Respondent Alpine Helicopter may send a written request via email to the Department at pesticidenotice@cdpr.ca.gov to shorten the required notification times listed in Terms 11 and 12 from 48 hours to 12 hours, and upon receiving written email approval from the Department and complying with Terms 11 and 12 at least 12 hours prior to the start of the application, any such application may proceed. The Department will consider approving such a request, up to 12 times per calendar year, for applications that are made to: (1) address a local emergency pursuant to Government Code section 8630, (2) address a local emergency declared by the U.S. Department of Agriculture or the California Department of (continued...)

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pesticide application does not commence within 96 hours of the date and time provided in the notice, a new notice must be provided before the application may occur. For four years, Respondent Alpine Helicopter must maintain a copy of each notice it provides, a record of the recipients who received the notice, and a record of when the notice occurred. Respondent Alpine Helicopter must provide such records upon request to the local CAC's office, the Department, any county District Attorney's Office, or the California Attorney General's Office.

- 12. Notice to Department of Sensitive Site Pesticide Applications. For all aerial pesticide applications made following the effective date of this Decision through December 31, 2024, that are made within one-quarter mile of a sensitive site, Respondent Alpine Helicopter shall notify, in writing, the Department, via email to pesticidenotice@cdpr.ca.gov, and the CAC's Office in the county in which the application will occur, via email, at least 48 hours in advance³ and include in such notice the name of the pilot, the date and time of the anticipated application, the location of the application, the approximate acres to be treated, the pesticide to be used, a copy of the notice that has been or will be provided to the occupants of the sensitive sites required by Term 11, and a list of all sensitive sites notified. If the pesticide application does not commence within 96 hours of the date and time provided in the notice, Respondent Alpine Helicopter shall notify, in writing, the Department via email to pesticidenotice@cdpr.ca.gov, and the CAC's Office in the county in which the application was to occur, via email, within 48 hours after the 96-hour period has elapsed, that the application did not occur. If the pesticide application does not commence within 96 hours of the date and time provided in the notice, a new notice must be provided before the application may occur.
- 13. **Notice Regarding Use of Restricted Materials.** For all aerial applications of pesticides designated as Restricted Materials under California Code of Regulations, title 3, section 6400 made following the effective date of this Decision through December 31, 2024,

Food and Agriculture, or (3) control a quarantine pest declared by the U.S. Department of Agriculture or the California Department of Food and Agriculture. The Department's written email approval has no effect on Respondent Alpine Helicopter's obligations under any other term of this Stipulation or under California's pesticide laws and regulations in place at the time of the application.

³ The procedure to seek approval to shorten the notice period set forth in the preceding footnote shall also apply to this notice period.

Respondent Alpine Helicopter shall notify, in writing, the Department, via email to pesticidenotice@cdpr.ca.gov, and the CAC's Office in the county in which the application will occur, via email, at least 48 hours in advance and include in such notice a copy of the Notice of Intent required by California Code of Regulations, title 3, section 6434 for the anticipated pesticide application, a copy of the notice that has been or will be provided to the occupants of sensitive sites required by Term 11 if any sensitive sites are within a quarter-mile of the boundary of the area that is to be treated with pesticides, and a list of all of the properties notified, if any. If the aerial pesticide application does not commence within the 96 hours of the date and provided in the notice, Respondent Alpine Helicopter shall notify, in writing, the Department, via email to pesticidenotice@cdpr.ca.gov, and the CAC's Office in the county in which the application will occur, via email, within 48 hours after the timeframe provided in the notice has elapsed, that the application did not occur. If the aerial pesticide application does not commence within 96 hours of the timeframe provided in the notice, a new notice must be provided before the application may occur.

- 14. **Monthly Reports.** Beginning January 1, 2025, and continuing until December 31, 2027, Respondent Alpine Helicopter shall submit a report on the first business day of each month to the Department, via email to pesticidenotice@cdpr.ca.gov, that lists all of the sensitive sites notified during the prior month under Term 11, details the methods used to notify each site under Term 11, and includes a copy of all of the notices provided during the prior month under Term 11.
- 15. Annual Reports. Beginning January 1, 2024, and continuing until December 31, 2027, Respondent Alpine Helicopter shall submit a report annually via email to pesticidenotice@cdpr.ca.gov by the first business day of January that is prepared by a Compliance Coordinator and that details the dates of all aerial pesticide applications by Respondent Alpine Helicopter that were observed by the Compliance Coordinator during the preceding 12 months, any violations of California's pesticide laws and regulations committed by Respondent Alpine Helicopter during the preceding 12 months, and all steps taken to correct such violations. The Compliance Coordinator must observe at least 25 applications by Respondent

Alpine Helicopter or 5 percent of all of Respondent Alpine Helicopter's aerial applications in the 2 preceding 12 months, whichever is larger. The Compliance Coordinator may not be an employee of Respondent Alpine Helicopter and must hold a current Qualified Applicator License issued by 3 4 the Department. The Compliance Coordinator's name and Department license number must be 5 included in the report. 6 <u>ACCEPTANCE</u> I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully 7 discussed it with my attorney, David M. Leath. I understand the stipulation and the effect it will 8 have on my Pest Control Business - Main License. I enter into this Stipulated Settlement and 9 10 Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Director of the California Department of Pesticide Regulation. 2 3 DATED: 5-12-23 . Respondent 5 I have read and fully discussed with Respondent Alpine Helicopter the terms and conditions 6 and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve 7 its form and content. 8 DATED: May 12, 2023 DAVID M. LEATH Attorney for Respondent 117 III

STIPULATED SETTLEMENT (20-002L) [Alpine Helicopter Service, Inc. Only]

ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Director of the California Department of Pesticide Regulation Dated: May 12 2023 Respectfully submitted, **ROB BONTA** Attorney General of California DAVID E. BRICE Supervising Deputy Attorney General Phillip Arthur PHILLIP L. ARTHUR Deputy Attorney General Attorneys for Complainant Pesticide Regulation SA2020303329 36747800.docx

DECISION AND ORDER The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Director of the California Department of Pesticide Regulation, as the Decision and Order in the above entitled matter. This Decision shall become effective on It is so ORDERED ____ June 5, 2023 JULIE HENDERSON DIRECTOR, CALIFORNIA DEPARTMENT OF PESTICIDE REGULATION

1	ROB BONTA Attorney General of California	
2	DAVID E. BRICE Supervising Deputy Attorney General	
3	PHILLIP L. ARTHUR Deputy Attorney General	
4	State Bar No. 238339 1300 I Street, Suite 125	
5	P.O. Box 944255 Sacramento, CA 94244-2550	
6	Telephone: (916) 210-7866 Facsimile: (916) 327-8643	
7	E-mail: Phillip.Arthur@doj.ca.gov Attorneys for Complainant	
8		OF THE
9	BEFORE THE DEPARTMENT OF PESTICIDE REGULATION	
10	STATE OF C	CALIFORNIA
11		Case No. 20-002L
12	In the Matter of the Accusation Against:	OAH No. 2020120289
13	ALPINE HELICOPTER SERVICE, INC.; JOEL C. DOZHIER, PRESIDENT	STIPULATED SETTLEMENT AND
14	11001 West Highway 12	DISCIPLINARY ORDER [JOEL CONRAD DOZHIER ONLY]
15	Lodi, CA 95240	
16	Pest Control Business - Main License No. 30969	
17	and	
18		
19	JOEL CONRAD DOZHIER P.O. Box 1405	
20	Woodbridge, CA 95258	
21	Qualified Applicator License No. 100640 Journeyman Pest Control Aircraft Pilot	
22	Certificate No. 76577	
23	and	
24	WILLIAM C. HEPPE II	
25	1040 Shakeley Lane Ione, CA 95640	
26	,	
27	Journeyman Pest Control Aircraft Pilot Certificate No. 141409	
28]

1	and	
2	CHARLES F. HEPPE	
3	4717 Bay Brook Way Bakersfield, CA 93313	
4	Qualified Applicator License No. 101033	
5	Journeyman Pest Control Aircraft Pilot Certificate No. 76719	
6	Respondents.	
7		
8		
9	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-	
10	entitled proceedings that the following matters are true:	
11	<u>PARTIES</u>	
12	1. Ken Everett (Complainant) is the Assistant Director of the Pesticides Program	
13	Division, Department of Pesticide Regulation ("Department"). He brought this action solely in	
14	his official capacity and is represented in this matter by Rob Bonta, Attorney General of the State	
15	of California, by Phillip L. Arthur, Deputy Attorney General.	
16	2. Alpine Helicopter Service, Inc., Joel Conrad Dozhier, William C. Heppe II, and	
17	Charles F. Heppe are represented in this proceeding by attorney David M. Leath, whose address	
18	is Petrie Leath Larrivee & O'Rourke, LLP, 6051 N. Fresno Street, Suite 110, Fresno, CA 93710.	
19	3. In or about 1994, the Department issued Qualified Applicator License No. 100640 to	
20	Respondent Joel C. Dozhier. The license was in full force and effect at all times relevant to the	
21	charges brought in First Amended Accusation No. 20-002L and will expire on December 31,	
22	2024, unless renewed.	
23	4. On or about January 1, 2007, the Department issued Journeyman Pest Control	
24	Aircraft Pilot Certificate No. 76577 to Respondent Dozhier. The license was in full force and	
25	effect at all times relevant to the charges brought in First Amended Accusation No. 20-002L and	
26	will expire on December 31, 2024, unless renewed.	
27	///	
28		

JURISDICTION

5. On or about March 4, 2021, First Amended Accusation No. 20-002L was filed before the Department and is currently pending against Respondents. The First Amended Accusation and all other statutorily required documents were properly served on Respondents on March 8, 2021. A true and correct copy of First Amended Accusation No. 20-002L is attached hereto as "Exhibit A" and is incorporated by reference as though fully set forth herein.

ADVISEMENT AND WAIVERS

- 6. Respondent Dozhier has carefully read, fully discussed with counsel, and understands the charges and allegations in First Amended Accusation No. 20-002L. Respondent Dozhier has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent Dozhier is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the First Amended Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent Dozhier voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent Dozhier understands and agrees that the charges and allegations in First Amended Accusation No. 20-002L, if proven at a hearing, constitute cause for imposing discipline upon his Qualified Applicator License and Journeyman Pest Control Aircraft Pilot Certificate.
- 10. For the purpose of resolving the First Amended Accusation without the expense and uncertainty of further proceedings, Respondent Dozhier agrees that, at a hearing, Complainant

could establish a factual basis for the charges in the First Amended Accusation, and that

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- 3. **Violation of Probation.** Should Respondent Dozhier violate probation in any respect, the Department, after giving Respondent Dozhier notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order which was stayed. If an accusation or a petition to revoke probation is filed against Respondent Dozhier during probation, the Department shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter has been acted upon by the Department.
- 4. **Interview / Records: Department Or Its Designees.** Respondent Dozhier shall be available in person upon reasonable request for interviews and the review of records either at Respondent Dozhier's place of business, residence, or other agreed upon location throughout the term of probation.
- **License Surrender.** Following the effective date of this Decision, if Respondent Dozhier ceases practicing in the pesticide industry due to retirement, health reasons, or is otherwise unable to satisfy the terms and conditions of probation, Respondent Dozhier may request the voluntary surrender of Respondent Dozhier's license and certificate to the Department. The Department reserves the right to evaluate Respondent Dozhier's request and to exercise its discretion whether to grant the request or to take any other action deemed appropriate and reasonable under the circumstances. Upon the Department's acceptance of the surrender, Respondent Dozhier shall within fifteen (15) days deliver to the Department's offices his licenses and certificates. Respondent Dozhier will no longer be subject to the terms and conditions of probation and the surrender of Respondent Dozhier's license and certificate shall be deemed a disciplinary action. However, if Respondent Dozhier re-applies for a license or registration, the application shall be treated as a new application for licensure. Respondent Dozhier must comply with all the laws, regulations, and procedures for licensure in effect at the time the application is filed, and all of the charges and allegations contained in First Amended Accusation No. 20-002L shall be deemed to be true, correct, and admitted by Respondent Dozhier when the Department determines whether to grant or deny the application.
- 6. **Completion of Probation.** Upon successful completion of probation, Respondent Dozhier's license and certificate will be fully restored if Respondent maintains his license and

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, David M. Leath. I understand the stipulation and the effect it will have on my Qualified Applicator License and Journeyman Pest Control Aircraft Pilot Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Director of the California Department of Pesticide Regulation.

DATED: 5-12-23 JOEL CONRAD-DOZHIER
Respondent

I have read and fully discussed with Respondent Dozhier the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: May 12, 2023

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DAVID M, LEATH Attorney for Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Director of the California Department of Pesticide Regulation

Dated: May 12 2023

Respectfully submitted.

ROB BONTA
Attorney General of California
DAVID E. BRICE
Supervising Deputy Attorney General

Phillip Arthur

PHILLIP L. ARTHUR
Deputy Attorney General
Attorneys for Complainant
Pesticide Regulation

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<u>DECISION AND ORDER</u>	
The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the	
Director of the California Department of Pesticide Regulation, as the Decision and Order in th	
above entitled matter.	
This Decision shall become effective on July 5, 2023	
It is so ORDERED June 5, 2023.	
Julie Hene	
JULIE HENDERSON	
DIRECTOR, CALIFORNIA DEPARTMENT OF PESTICIDE REGULATION	

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1	ROB BONTA	
2	Attorney General of California DAVID E. BRICE	
3	Supervising Deputy Attorney General PHILLIP L. ARTHUR	
4	Deputy Attorney General State Bar No. 238339	
5	1300 I Street, Suite 125 P.O. Box 944255	
6	Sacramento, CA 94244-2550 Telephone: (916) 210-7866	
7	Facsimile: (916) 327-8643 E-mail: Phillip.Arthur@doj.ca.gov	
8	Attorneys for Complainant	
9	_	RE THE STICIDE REGULATION
10		CALIFORNIA
11		G N 20 000Y
12	In the Matter of the Accusation Against:	Case No. 20-002L
13	ALPINE HELICOPTER SERVICE, INC.;	OAH No. 2020120289
14	JOEL C. DOZHIER, PRESIDENT 11001 West Highway 12	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER [WILLIAM C.
15	Lodi, CA 95240	HEPPE II ONLY]
16	Pest Control Business - Main License No.	
17	30969	
18	and	
19	JOEL CONRAD DOZHIER P.O. Box 1405	
20	Woodbridge, CA 95258	
21	Qualified Applicator License No. 100640	
22	Journeyman Pest Control Aircraft Pilot Certificate No. 76577	
23	and	
24	WILLIAM C. HEPPE II	
25	1040 Shakeley Lane	
26	Ione, CA 95640	
27	Journeyman Pest Control Aircraft Pilot Certificate No. 141409	
28		
		1

1	and
2	CHARLES F. HEPPE
3	4717 Bay Brook Way Bakersfield, CA 93313
4	Qualified Applicator License No. 101033
5	Journeyman Pest Control Aircraft Pilot
6	Certificate No. 76719 Respondents.
7	
8	
9	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-
10	entitled proceedings that the following matters are true:
11	<u>PARTIES</u>
12	1. Ken Everett (Complainant) is the Assistant Director of the Pesticides Program
13	Division, Department of Pesticide Regulation ("Department"). He brought this action solely in
14	his official capacity and is represented in this matter by Rob Bonta, Attorney General of the State
15	of California, by Phillip L. Arthur, Deputy Attorney General.
16	2. Alpine Helicopter Service, Inc., Joel Conrad Dozhier, William C. Heppe II, and
17	Charles F. Heppe are represented in this proceeding by attorney David M. Leath, whose address
18	is Petrie Leath Larrivee & O'Rourke, LLP, 6051 N. Fresno Street, Suite 110, Fresno, CA 93710.
19	3. On or about July 14, 2016, the Department issued Journeyman Pest Control Aircraft
20	Pilot Certificate No. 141409 to William C. Heppe II (Respondent William Heppe II). The license
21	was in full force and effect at all times relevant to the charges brought in First Amended
22	Accusation No. 20-002L and will expire on December 31, 2024, unless renewed.
23	<u>JURISDICTION</u>
24	4. On or about March 4, 2021, First Amended Accusation No. 20-002L was filed before
25	the Department and is currently pending against Respondents. The First Amended Accusation
26	and all other statutorily required documents were properly served on Respondents on March 8,
27	2021. A true and correct copy of First Amended Accusation No. 20-002L is attached hereto as
28	"Exhibit A" and is incorporated by reference as though fully set forth herein.

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ADVISEMENT AND WAIVERS

- 5. Respondent William Heppe II has carefully read, fully discussed with counsel, and understands the charges and allegations in First Amended Accusation No. 20-002L. Respondent William Heppe II has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent William Heppe II is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the First Amended Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent William Heppe II voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent William Heppe II understands and agrees that the charges and allegations in First Amended Accusation No. 20-002L, if proven at a hearing, constitute cause for imposing discipline upon his Journeyman Pest Control Aircraft Pilot Certificate.
- 9. For the purpose of resolving the First Amended Accusation without the expense and uncertainty of further proceedings, Respondent William Heppe II agrees that, at a hearing, Complainant could establish a factual basis for the charges in the First Amended Accusation, and that Respondent William Heppe II hereby gives up his right to contest those charges.
- 10. Respondent William Heppe II agrees that his Journeyman Pest Control Aircraft Pilot Certificate No. 141409 is subject to discipline and agrees to be bound by the Department's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 11. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 12. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Department may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Journeyman Pest Control Aircraft Pilot Certificate No. 141409 issued to William C. Heppe II (Respondent William Heppe II) is revoked. However, the revocation is stayed and Respondent William Heppe II is placed on probation for four (4) years on the following terms and conditions:

- 1. **Obey All Laws.** Respondent William Heppe II shall obey all federal, state, and local laws, and all laws and rules relating to the practice of pesticide application.
- 2. **Practice outside the State**. In the event Respondent William Heppe II should leave California to reside or practice outside the State, prior to departing, Respondent William Heppe II must provide written notification to the Department of the dates of departure and actual return to the state.
- 3. **Violation of Probation.** Should Respondent William Heppe II violate probation in any respect, the Department, after giving Respondent William Heppe II notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order which was stayed. If an accusation or a petition to revoke probation is filed against Respondent William Heppe II during

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probation, the Department shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter has been acted upon by the Department.

- 4. **Interview / Records: Department Or Its Designees.** Respondent William Heppe II shall be available in person upon reasonable request for interviews and the review of records either at Respondent William Heppe II's place of business, residence, or other agreed upon location throughout the term of probation.
- 5. **License Surrender.** Following the effective date of this Decision, if Respondent William Heppe II ceases practicing in the pesticide industry due to retirement, health reasons, or is otherwise unable to satisfy the terms and conditions of probation, Respondent William Heppe II may request the voluntary surrender of Respondent William Heppe II's certificate to the Department. The Department reserves the right to evaluate Respondent William Heppe II's request and to exercise its discretion whether to grant the request or to take any other action deemed appropriate and reasonable under the circumstances. Upon the Department's acceptance of the surrender, Respondent William Heppe II shall within fifteen (15) days deliver to the Department's offices his licenses and certificates. Respondent William Heppe II will no longer be subject to the terms and conditions of probation and the surrender of Respondent William Heppe II's certificate shall be deemed a disciplinary action. However, if Respondent William Heppe II re-applies for a license or registration, the application shall be treated as a new application for licensure. Respondent William Heppe II must comply with all the laws, regulations, and procedures for licensure in effect at the time the application is filed, and all of the charges and allegations contained in First Amended Accusation No. 20-002L shall be deemed to be true, correct, and admitted by Respondent William Heppe II when the Department determines whether to grant or deny the application.
- 6. **Completion of Probation.** Upon successful completion of probation, Respondent William Heppe II's certificate will be fully restored if Respondent maintains his certificate during the entirety of this probationary period.
- 7. **Take And Pass Journeyman Pilot Certificate Exam.** Beginning April 1, 2024, and continuing until December 31, 2027, Respondent William Heppe II is prohibited from making an

ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Director of the California Department of Pesticide Regulation Dated: May 12 2023 Respectfully submitted, ROB BONTA Attorney General of California DAVID E. BRICE Supervising Deputy Attorney General Phillip Arthur PHILLIP L. ARTHUR Deputy Attorney General Attorneys for Complainant Pesticide Regulation SA2020303329 36747867.docx

DECISION AND ORDER The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Director of the California Department of Pesticide Regulation, as the Decision and Order in the above entitled matter. This Decision shall become effective on July 5, 2023 It is so ORDERED June 5, 2023 DIRECTOR, CALIFORNIA DEPARTMENT OF PESTICIDE REGULATION

Exhibit A

First Amended Accusation No. 20-002L

1	XAVIER BECERRA	
2	Attorney General of California DAVID E. BRICE	
3	Supervising Deputy Attorney General PHILLIP L. ARTHUR	
4	Deputy Attorney General State Bar No. 238339	
5	1300 I Street, Suite 125	
	P.O. Box 944255 Sacramento, CA 94244-2550	
6	Telephone: (916) 210-7866 Facsimile: (916) 327-8643	
7	E-mail: Phillip.Arthur@doj.ca.gov Attorneys for Complainant	
8		
9		RE THE STICIDE REGULATION
10	DEPARTMENT OF PESTICIDE REGULATION STATE OF CALIFORNIA	
11		
12	In the Matter of the Accusation Against:	Case No. 20-002L
13	ALPINE HELICOPTER SERVICE, INC.;	FIRST AMENDED
14	JOEL C. DOZHIER, PRESIDENT 11001 West Highway 12	ACCUSATION
15	Lodi, CA 95240	
16	Pest Control Business - Main License No. 30969	
17	and	
18	IOEL COMPAD DOZIHED	
19	JOEL CONRAD DOZHIER P.O. Box 1405	
20	Woodbridge, CA 95258	
21	Qualified Applicator License No. 100640	
22	Journeyman Pest Control Aircraft Pilot Certificate No. 76577	
23	and	
24	WILLIAM C. HEPPE II	
25	1040 Shakeley Lane	
26	Ione, CA 95640	
27	Journeyman Pest Control Aircraft Pilot Certificate No. 141409	
28		_
		1

1	and
2	CHARLES F. HEPPE 4717 Bay Brook Way
3	Bakersfield, CA 93313
4	Qualified Applicator License No. 101033
5	Journeyman Pest Control Aircraft Pilot Certificate No. 76719
6	Respondents.
7	
8	Ken Everett ("Complainant") alleges:
9	<u>PARTIES</u>
10	1. Complainant brings this Accusation solely in his official capacity as the Assistant
11	Director of the Pesticides Program Division, Department of Pesticide Regulation ("Department").
12	2. On or about January 10, 2007, the Department issued Pest Control Business - Main
13	License No. 30969 to Alpine Helicopter Service, Inc. (Respondent Alpine Helicopter) with Joel
14	C. Dozhier as President and as the designated Qualified Person (Respondent Dozhier). The
15	license was in full force and effect at all times relevant to the charges brought herein and will
16	expire on December 31, 2022, unless renewed.
17	3. In or about 1994, the Department issued Qualified Applicator License No. 100640 to
18	Respondent Dozhier. The license was in full force and effect at all times relevant to the charges
19	brought herein and will expire on December 31, 2022, unless renewed.
20	4. On or about January 1, 2007, the Department issued Journeyman Pest Control
21	Aircraft Pilot Certificate No. 76577 to Respondent Dozhier. The license was in full force and
22	effect at all times relevant to the charges brought herein and will expire on December 31, 2022,
23	unless renewed.
24	5. On or about July 14, 2016, the Department issued Journeyman Pest Control Aircraft
25	Pilot Certificate No. 141409 to William C. Heppe II (Respondent William Heppe II). The license
26	was in full force and effect at all times relevant to the charges brought herein and will expire on
27	December 31, 2022, unless renewed.
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1 2	condition placed upon, a license upon correction of the defects of equipment, establishment of adequate safety practices, or any other corrective action as he or she deems satisfactory to assure compliance with the requirements of statutes and regulations. In that event, the matter may proceed to hearing as though the license had not been suspended or the conditions placed upon it.	
3	10 0 1 11 11 11 11 11 11 11 11 11 11 11	
4	10. Code section 11791 provides, in pertinent part:	
5	It is unlawful for any person subject to this division to do any of the following:	
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7	(b) Operate in a faulty, careless, or negligent manner.	
8	(c) Refuse or neglect to comply with this division, or any regulation issued pursuant to this division, or any lawful order of the commissioner or the director	
10	11. Code section 11910 provides, in pertinent part:	
11	(a) The director may refuse to issue a manned or unmanned pest control aircraft	
12	pilot certificate to an applicant and may revoke or suspend a manned or unmanned pest control aircraft pilot certificate after a hearing in which one or more of the following findings are made:	
13	inidings are made.	
14	(4) 771	
15 16	(4) The person has violated any provision of, or any regulation adopted pursuant to, this division or Division 7 (commencing with Section 12501) pertaining to pesticides, any regulation of a county agricultural commissioner, or any industrial safety order of the Department of Industrial Relations, or has not complied with any order of the director or	
17	commissioner as authorized by Section 11737.	
18		
19	(b) The director may, pending a hearing, suspend or condition the certificate of any pest control aircraft pilot when, upon an investigation that the director determines to be	
20	necessary and upon the receipt of affidavits by the enforcement personnel provided in Section 11501.5 or injured parties, the director finds that continuance of the certificate	
21	endangers public welfare or safety. The director may terminate the suspension or condition upon the taking of corrective action that the director determines to be	
22	satisfactory to ensure qualification or compliance with the law or regulations. In that event, the matter may proceed to hearing as though that action had not been taken.	
23	12. Code section 12205 provides, in pertinent part:	
24	A qualified applicator license may be refused, revoked, or suspended by the director, after a hearing, for any of the following:	
25	(a) Failure to comply with this division or Division 7 (commencing with	
26	Section 12501) or regulations adopted pursuant to these divisions.	
27		
28	(d) Failure to supervise operations, activities, and employees of the pest control	

Sensation. Luna Sensation's label that is registered with the Department states, "Harmful if swallowed, absorbed through skin, or inhaled. Avoid contact with skin, eyes, or clothing. Avoid breathing vapor or spray mist." The label further cautions the applicator against spraying the pesticide during windy conditions.

- 18. Fulfill (EPA Registration. No. 100-912) is a pesticide and aphid (a minute bug that feeds by sucking sap from plants and causes extensive damage to crops) specialist with a unique mode of action that inhibits feeding soon after ingestion and results in aphid death. Aphids quickly stop feeding one to two hours after they ingest the active ingredient in Fulfill (Pymetrozine), which helps prevent the spread of persistently and non-persistently transmitted plant viruses. The Department-registered label for Fulfill notes that the pesticide is "harmful if absorbed through skin," and advises applicators not to "allow spray to drift onto adjacent land or crops." It also prohibits application of "this product in a way that will contact workers or other persons, either directly or through drift."
- 19. Zeal (EPA Reg. No. 59639-138) is a pesticide that removes the yield-drag potential¹ that two-spotted spider mites, banks grass mites, and other species of spider mites can cause on a farm. Zeal affects all stages of mites from egg to adult—even for those resistant to other chemistries. Etoxazole is the active ingredient for Zeal. The Department-registered label for Zeal notes that the pesticide "[c]auses moderate eye irritation," directs applicators not to apply "when weather conditions favor drift from treated areas," and warns applicators to "not apply this product in a way that will contact workers or other persons."

<u>SEPTEMBER 7, 2019 SAN JOAQUIN INCIDENT</u>

20. On or about September 7, 2019, between 7 and 9 a.m., Respondent William Heppe II made an aerial pesticide application on behalf of Respondent Alpine Helicopter to a pumpkin field, Site 25-5, which is located next to the San Joaquin County Regional Sports Complex in Stockton, California. September 7, 2019, was a Saturday and numerous families were at the Sports Complex soccer fields for youth soccer games.

¹ A negative effect on grain yield associated with crop plants that have a specific gene or a specific trait. This renders the resident gene inactive and unable to produce the protein it encoded.

EIGHTH CAUSE FOR DISCIPLINE

(Regulation section 6600, subdivision (d)—Failure to Perform Pest Control Under Climactic Conditions Suitable to Insure Proper Application of Pesticides)

32. Respondent Alpine Helicopter's Pest Control Business License is subject to discipline under Code sections 11708, subdivision (d), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (d), in that on September 7, 2019, Alpine Helicopter failed to perform pest control under climactic conditions suitable to insure proper application of pesticides. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

RESPONDENT DOZHIER

NINTH CAUSE FOR DISCIPLINE

(Code section 12972—Failure to Use Pesticide to Prevent Substantial Drift to Nontarget Areas)

33. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (d), and 12972, in that on September 7, 2019, Alpine Helicopter applied the pesticide Luna Sensation in a manner which resulted in substantial drift to nontarget areas. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

TENTH CAUSE FOR DISCIPLINE

(Code section 12973—Use of Pesticide in Conflict With Labeling)

34. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (d), and 12973, in that on September 7, 2019, Alpine Helicopter applied the pesticide Luna Sensation in conflict with its label, which states, "Do not apply this product in a way that will contact workers or other persons, either directly or through drift," and which instructs applicators to "avoid spraying when windy." The facts and circumstances are described with more particularity in paragraphs 20-24, above.

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ELEVENTH CAUSE FOR DISCIPLINE

(Code section 11791, subdivision (b)—Operating in a Faulty, Careless, or Negligent Manner)

35. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (d), and 11791, subdivision (b), in that on September 7, 2019, he failed to supervise the operations, activities, and employees of Respondent Alpine Helicopter, and operated Respondent Alpine Helicopter in a faulty, careless, or negligent manner. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

TWELFTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(1)—Application of Pesticide With a Reasonable Possibility of Contamination of the Bodies or Clothing of Non-Involved Persons)

36. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code section 12205, subdivision (d), by and through Regulation section 6614, subdivision (b)(1), in that on September 7, 2019, Alpine Helicopter made a pesticide application when there was a reasonable possibility of contamination of the bodies or clothing of persons not involved in the application process. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

THIRTEENTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(2)—Application of Pesticide With a Reasonable Possibility of Damage to Nontarget Crops, Animals, or Other Public Property)

37. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code section 12205, subdivision (d), by and through Regulation section 6614, subdivision (b)(2), in that on September 7, 2019, Alpine Helicopter made a pesticide application when there was a reasonable possibility of damage to nontarget crops, animals, or other public or private property. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

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TWENTIETH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(1)—Application of Pesticide With a Reasonable Possibility of Contamination of the Bodies or Clothing of Non-Involved Persons)

44. Respondent William Heppe II's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11910, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(1), in that on September 7, 2019, William Heppe II made a pesticide application when there was a reasonable possibility of contamination of the bodies or clothing of persons not involved in the application process. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

TWENTY-FIRST CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(2)—Application of Pesticide With a Reasonable Possibility of Damage to Nontarget Crops, Animals, or Other Public Property)

45. Respondent William Heppe II's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11910, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(2), in that on September 7, 2019, William Heppe II made a pesticide application when there was a reasonable possibility of damage to nontarget crops, animals, or other public or private property. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

TWENTY-SECOND CAUSE FOR DISCIPLINE

(Regulation section 6600, subdivision (b)—Failure to Perform Pest Control in a Careful and Effective Manner)

46. Respondent William Heppe II's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11901, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (b), in that on September 7, 2019, William Heppe II failed to perform pest control in a careful and effective manner. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

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TWENTY-THIRD CAUSE FOR DISCIPLINE

(Regulation section 6600, subdivision (c)—Failure to Use Methods and Equipment Suitable to Insure Proper Application of Pesticides)

47. Respondent William Heppe II's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11901, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (c), in that on September 7, 2019, William Heppe II failed to use methods and equipment suitable to insure proper application of pesticides. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

TWENTY-FOURTH CAUSE FOR DISCIPLINE

(Regulation section 6600, subdivision (d)—Failure to Perform Pest Control Under Climactic Conditions Suitable to Insure Proper Application of Pesticides)

48. Respondent William Heppe II's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11901, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (d), in that on September 7, 2019, William Heppe II failed to perform pest control under climactic conditions suitable to insure proper application of pesticides. The facts and circumstances are described with more particularity in paragraphs 20-24, above.

<u>SEPTEMBER 17, 2019 SAN JOAQUIN INCIDENT</u>

49. On or about September 17, 2019, San Joaquin County Agricultural Biologist/Standards Inspector A.D. stood at the San Joaquin County Sports Complex and observed Respondent Dozhier making an aerial pesticide application to Site 25-5. While Inspector A.D. was at the San Joaquin County Sports Complex, witness O.E. complained that there was pesticide residue on his car, which was located in the parking lot of the Sports Complex next to the softball/baseball fields. Inspector A.D. observed pesticide residue on O.E.'s car and took photos of it. A swab sample taken from O.E.'s windshield came back positive for Pymetrozine, the active ingredient in Fulfill, which was the pesticide Respondent Dozhier applied to Site 25-5 on September 17, 2019.

50. On or about September 17, 2019, Respondent William Heppe II e-mailed Inspector A.D. and confirmed that Respondent Alpine Helicopter applied pesticides to Site 25-5 on September 17, 2019. During a subsequent telephone conversation with Inspector A.D., on or about December 18, 2019, William Heppe II admitted that Respondent Dozhier applied the pesticides to Site 25-5 on September 17, 2019.

RESPONDENT ALPINE HELICOPTER

TWENTY-FIFTH CAUSE FOR DISCIPLINE

(Code section 12972—Failure to Use Pesticide to Prevent Substantial Drift to Nontarget Areas)

51. Respondent Alpine Helicopter's Pest Control Business License is subject to discipline under Code sections 11708, subdivision (d), 11791, subdivision (c), and 12972, in that on September 17, 2019, Alpine Helicopter applied the pesticide Fulfill in a manner which resulted in substantial drift to nontarget areas. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

TWENTY-SIXTH CAUSE FOR DISCIPLINE

(Code section 12973—Use of Pesticide in Conflict With Labeling)

52. Respondent Alpine Helicopter's Pest Control Business License is subject to discipline under Code sections 11708, subdivision (d), 11791, subdivision (c), and 12973, in that on September 17, 2019, Alpine Helicopter applied the pesticide Fulfill in conflict with its label, which states, "Do not apply this product in a way that will contact workers or other persons, either directly or through drift." The facts and circumstances are described with more particularity in paragraphs 49-50, above.

TWENTY-SEVENTH CAUSE FOR DISCIPLINE

(Code section 11791, subdivision (b)—Operating in a Faulty, Careless, or Negligent Manner)

53. Respondent Alpine Helicopter's Pest Control Business License is subject to discipline under Code sections 11708, subdivision (d), and 11791, subdivisions (b) and (c), in that on

September 17, 2019, Alpine Helicopter operated in a faulty, careless, or negligent manner. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

TWENTY-EIGHTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(1)—Application of Pesticide With a Reasonable Possibility of Contamination to the Bodies or Clothing of Non-Involved Persons)

54. Respondent Alpine Helicopter's Pest Control Business License is subject to discipline under Code sections 11708, subdivision (d), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(1), in that on September 17, 2019, Alpine Helicopter made a pesticide application when there was a reasonable possibility of contamination of the bodies or clothing of persons not involved in the application process. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

TWENTY-NINTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(2)—Application of Pesticide With a Reasonable Possibility of Damage to Nontarget Crops, Animals, or Other Property)

55. Respondent Alpine Helicopter's Pest Control Business License is subject to discipline under Code sections 11708, subdivision (d), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(2), in that on September 17, 2019, Alpine Helicopter made a pesticide application when there was a reasonable possibility of damage to nontarget crops, animals, or other public or private property. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

THIRTIETH CAUSE FOR DISCIPLINE

(Regulation section 6600, subdivision (b)—Failure to Perform Pest Control in a Careful and Effective Manner)

56. Respondent Alpine Helicopter's Pest Control Business License is subject to discipline under Code sections 11708, subdivision (b), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (b), in that on September 17, 2019, Alpine Helicopter failed to perform pest control in a careful and effective manner. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

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RESPONDENT DOZHIER

THIRTY-FIRST CAUSE FOR DISCIPLINE

(Code section 12972—Failure to Use Pesticide to Prevent Substantial Drift to Nontarget Areas)

57. Respondent Dozhier's Qualified Applicator License, as the pilot applicator, President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (a), 11791, subdivision (c), and 12972, in that on September 17, 2019, Alpine Helicopter applied the pesticide Fulfill in a manner which resulted in substantial drift to nontarget areas. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

THIRTY-SECOND CAUSE FOR DISCIPLINE

(Code section 12973—Use of Pesticide in Conflict With Labeling)

58. Respondent Dozhier's Qualified Applicator License, as the pilot applicator, President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (a), 11791, subdivision (c), and 12973, in that on September 17, 2019, Alpine Helicopter applied the pesticide Fulfill in conflict with its label, which states, "Do not apply this product in a way that will contact workers or other persons, either directly or through drift." The facts and circumstances are described with more particularity in paragraphs 49-50, above.

THIRTY-THIRD CAUSE FOR DISCIPLINE

(Code section 11791, subdivision (b)—Operating in a Faulty, Careless, or Negligent Manner)

59. Respondent Dozhier's Qualified Applicator License, as the pilot applicator, President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivisions (b) and (c), in that on September 17, 2019, he operated in a faulty, careless, or negligent manner. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

THIRTY-FOURTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(1)—Application of Pesticides With a Reasonable Possibility of Contamination of the Bodies or Clothing of Non-Involved Persons)

60. Respondent Dozhier's Qualified Applicator License, as the pilot applicator, President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(1), in that on September 17, 2019, Dozhier made a pesticide application when there was a reasonable possibility of contamination of the bodies or clothing of persons not involved in the application process. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

THIRTY-FIFTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(2)—Application of Pesticides With a Reasonable Possibility of Damage to Nontarget Crops, Animals, or Other Public Property)

61. Respondent Dozhier's Qualified Applicator License, as the pilot applicator, President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(2), in that on September 17, 2019, Dozhier made a pesticide application when there was a reasonable possibility of damage to nontarget crops, animals, or other public or private property. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

THIRTY-SIXTH CAUSE FOR DISCIPLINE

(Regulation section 6600, subdivision (b)—Failure to Perform Pest Control in a Careful and Effective Manner)

62. Respondent Dozhier's Qualified Applicator License, as the pilot applicator, President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (b), in that on September 17, 2019, Dozhier failed to perform pest control in a

FORTIETH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(1)—Application of Pesticide With a Reasonable Possibility of Contamination to the Bodies or Clothing of Non-Involved Persons)

66. Respondent Dozhier's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11910, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(1), in that on September 17, 2019, Dozhier made a pesticide application when there was a reasonable possibility of contamination of the bodies or clothing of persons not involved in the application process. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

FORTY-FIRST CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(2)—Application of Pesticide With a Reasonable Possibility of Damage to Nontarget Crops, Animals, or Other Public or Private Property)

67. Respondent Dozhier's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11910, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(2), in that on September 17, 2019, Dozhier made a pesticide application when there was a reasonable possibility of damage to nontarget crops, animals, or other public or private property. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

FORTY-SECOND CAUSE FOR DISCIPLINE

(Regulation section 6600(b)—Failure to Perform Pest Control in a Careful and Effective

Manner)

68. Respondent Dozhier's Journeyman Pest Control Aircraft Pilot Certificate is subject to discipline under Code sections 11910, subdivision (a)(4), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (b), in that on September 17, 2019, Dozhier failed to perform pest control in a careful and effective manner. The facts and circumstances are described with more particularity in paragraphs 49-50, above.

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FIFTIETH CAUSE FOR DISCIPLINE

(Code section 12973—Use of Pesticide in Conflict With Labeling)

78. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (d), and 12973, in that on July 4, 2020, Alpine Helicopter applied the pesticide Zeal in conflict with its label, which states, "Do not apply this product in a way that will contact workers or other persons, either directly or through drift." The facts and circumstances are described with more particularity in paragraphs 69-70, above.

FIFTY-FIRST CAUSE FOR DISCIPLINE

(Code section 11791, subdivision (b)—Operating in a Faulty, Careless, or Negligent Manner)

79. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code sections 12205, subdivision (d), and 11791, subdivision (b), in that on July 4, 2020, he failed to supervise the operations, activities, and employees of Respondent Alpine Helicopter, and operated Respondent Alpine Helicopter in a faulty, careless, or negligent manner. The facts and circumstances are described with more particularity in paragraphs 69-70, above.

FIFTY-SECOND CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(1)—Application of Pesticides With a Reasonable Possibility of Contamination of the Bodies or Clothing of Non-Involved Persons)

80. Respondent Dozhier's Qualified Applicator License, as President of and Qualified Person for Respondent Alpine Helicopter, is subject to discipline under Code section 12205, subdivision (d), by and through Regulation sections 6614, subdivision (b)(1), in that on July 4, 2020, Alpine Helicopter made a pesticide application when there was a reasonable possibility of contamination of the bodies or clothing of persons not involved in the application process. The facts and circumstances are described with more particularity in paragraphs 69-70, above. ///

SIXTY-SECOND CAUSE FOR DISCIPLINE

(Code section 12973—Use of Pesticide in Conflict With Labeling)

90. Respondent Charles Heppe's Qualified Applicator License is subject to discipline under Code sections 12205, subdivision (a), 11791, subdivision (c), and 12973, in that on July 4, 2020, he applied the pesticide Zeal in conflict with its label, which states, "Do not apply this product in a way that will contact workers or other persons, either directly or through drift." The facts and circumstances are described with more particularity in paragraphs 69-70, above.

SIXTY-THIRD FOR DISCIPLINE

(Code section 11791, subdivision (b)—Operating in a Faulty, Careless, or Negligent Manner)

91. Respondent Charles Heppe's Qualified Applicator License is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivisions (b) and (c), in that on July 4, 2020, he operated in a faulty, careless, or negligent manner. The facts and circumstances are described with more particularity in paragraphs 69-70, above.

SIXTY-FOURTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(1)—Pesticide Application With a Reasonable Possibility of Contamination of the Bodies or Clothing of Non-Involved Persons)

92. Respondent Charles Heppe's Qualified Applicator License is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivision (c), by and through Regulation section 6614, subdivision (b)(1), in that on July 4, 2020, Charles Heppe made a pesticide application when there was a reasonable possibility of contamination of the bodies or clothing of persons not involved in the application process. The facts and circumstances are described with more particularity in paragraphs 69-70, above.

SIXTY-FIFTH CAUSE FOR DISCIPLINE

(Regulation section 6614, subdivision (b)(2)—Pesticide Application With a Reasonable Possibility of Damage to Nontarget Crops, Animals, or Other Property)

93. Respondent Charles Heppe's Qualified Applicator License is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivision (c), by and through

Regulation section 6614, subdivision (b)(2), in that on July 4, 2020, Charles Heppe made a pesticide application when there was a reasonable possibility of damage to nontarget crops, animals, or other public or private property. The facts and circumstances are described with more particularity in paragraphs 69-70, above.

SIXTY-SIXTH CAUSE FOR DISCIPLINE

(Regulation section 6600, subdivision (b)—Failure to Perform Pest Control in a Careful and Effective Manner)

94. Respondent Charles Heppe's Qualified Applicator License is subject to discipline under Code sections 12205, subdivision (a), and 11791, subdivision (c), by and through Regulation section 6600, subdivision (b), in that on July 4, 2020, Charles Heppe failed to perform pest control in a careful and effective manner. The facts and circumstances are described with more particularity in paragraphs 69-70, above.

MATTERS IN AGGRAVATION

- 95. On or about November 24, 2020, a Violation notice was issued to Respondents Alpine Helicopter and Charles Heppe. The notice was based upon a June 20, 2020 incident where Respondents made an aerial pesticide application to a vineyard in Sonoma, California in violation of the pesticide's label in that the wind speed at the time of application was under 2 miles per hour (the label required a wind speed between 3-10 miles per hour).
- 96. On or about February 19, 2019, a Decision Report was issued against Respondent Alpine Helicopter. The report was based upon inspections, which discovered that an employee of Alpine Helicopter was not wearing a respirator and was found to be in possession of a pesticide container that was unlabeled, both of which are in violation of California pesticide law.
- 97. On or about February 7, 2019, a Violation Notice was issued to Respondent Alpine Helicopter. The notice was based upon an inspection, which revealed that medical care information was not posted in violation of California pesticide law.
- 98. On or about April 20, 2018, a Warning Letter was issued to Respondent Alpine Helicopter. The letter was based upon an inspection, which revealed Alpine Helicopter employed a pilot not registered to operate in the county in violation of California pesticide law.

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7.	Taking such other		,
	Taking such other	and further action as deemed necessary and proper.	
	02/04/2024	Kan Evaratt	
DATED:	03/04/2021		_
		ASSISTANT DIRECTOR	
		Department of Pesticide Regulation State of California	
SA20203033	29	Complainant	
		32	
		DATED: 03/04/2021 SA2020303329	KEN EVERETT ASSISTANT DIRECTOR Pesticide Programs Division Department of Pesticide Regulation State of California Complainant SA2020303329